Receipt date: 12/24/2003 10744009 - GAU: 3688

IN THE UNITED STATE PATENT AND TRADEMARK OFFICE

In re patent application of

Docket No.: 2040638.0002.C1

Anthony P. MAYO

Serial No.: Unassigned

Group Art Unit: To be assigned

Filed: Concurrently herewith

Examiner: To be assigned

For: TRANSACTION SYSTEM AND METHODOLOGY WITH INTER-PARTY COMMUNICATION CAPABILITY

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Under the provisions of 37 C.F.R. 1.97 through 1.99 and pursuant to applicant's duty of disclosure under 37 C.F.R. 1.56, applicant respectfully brings the following documents, listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. The references cited in the attached PTO-1449 are readily available to the Examiner in co-pending parent U.S. Application Serial No.: 10/174,054 which is a divisional in part of Application Serial No.: 09/174,429. Thus, according to the rules of practice, these references are not provided herein, but are listed on the PTO-1449 form and should be considered by the Examiner. If the Examiner is unable to obtain the listed references, applicant will gladly provide such references upon

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notification by the Examiner in charge of the examination of the above-identified application.

These citations do not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

It is respectfully requested that the listed references be considered by the Examiner and formally made of record in this application.

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 23-1951.

Respectfully submitted,

Jonathan Link Reg. No. 41,548

Date: December 24, 2003

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Receipt date: 12/24/2003 10744009 - GAU: 3688 Attorney Docket No.: Form PTO-1442 (Modified). Serial No.: 2040638.0002.C1 Unassigned LIST OF PATIENTS AND PUBLICATIONS FOR APPLICANT'S Applicant: INFORMATION DISCLOSURE STATEMENT Anthony P. MAYO Filing Date: Group: (Use several sheets if necessary) Page 1 of 1 Concurrently **TBD** REFERENCE DESIGNATION U.S. PATENT DOCUMENTS **EXAMINERS** FILING DATE DOCUMENT NUMBER DATE NAME **CLASS SUBCLASS INITIALS** (IF APPRO.) /M.H./ US-5,668,953 09/1997 Sloo 705 US-5,754,938 05/1998 Herz et al. 455 4.2 US-5,794,210 08/1998 Goldhaber et al. 705 14 US-5,855,008 12/1998 Goldhaber et al. 705 14 US-6,119,101 09/2000 Peckover 705 14X US-6,330,543 12/2001 Kepecs 705 14 FOREIGN PATENT DOCUMENTS DOCUMENT NUMBER **SUBCLASS** DATE **COUNTRY CLASS** TRANSLATION YES NO /M.H./WO 00/17775 03/2000 **WIPO** 705 14X OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.) David Birch is a consultant and diretore of Hyperion, the IT management consultancy; Guardian dated /M.H./ October 14, 1999 SmartAge.com bolsters services with a new suite of eCommerce services for small businesses; Business Wire dated February 15, 2000 US 2002/0022993 **EXAMINER** DATE CONSIDERED /Matthew Hamilton/ 09/14/2008 EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

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